



NORTH LOS ANGELES COUNTY

REGIONAL CENTER

15400 Sherman Way, Suite 170 • Van Nuys, CA 91406-4211
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Conflict of Interest

As a result of the Lanterman Act and regulations for its implementation regarding conflict of interest, Title 17, Section 54500 states: “These regulations prescribe conflict of interest standards and procedures for all members of the regional center governing boards and employees of the regional center to ensure that such persons make decisions relative to the regional center which are in the best interests of the center’s clients and families pursuant to authority provided in Section 4627 of the Welfare and Institutions Code. Members serving on the governing board of a regional center after January 1, 1982 are subject to these regulations to the extent not prohibited by Welfare and Institutions Code, Section 4626.”

Section 54520. Conflict of Interest Standards for Regional Center Governing Board Members.

(a) The following constitute conflicts of interest for regional center governing board members:

(1) A conflict of interest exists when a member of the governing board or a family member of such person is a director, officer, owner, partner, shareholder, trustee or employee of any business entity or provider, holds any position of management in any business entity or provider, except to the extent permitted by Welfare and Institutions Code, Section 4622(a)(9) and 4626.

(2) A conflict of interest exists when the advisory committee board member, appointed pursuant to Welfare and Institutions Code, Section 4622(a)(7), is an employee or member of the governing board of a provider from which the regional center purchases client services and engages in the activities prescribed in Welfare and Institutions Code Section 4622(a)(9). Such member is therefore prohibited for serving as an officer of the regional center governing board and from voting on the matters or issues described in Section 4622(a)(9). Furthermore, the member is subject to disclosure under Section 54522 of these regulations in addition to providing a list of his or her financial interests, as defined in Government Code Section 87103. Fiscal matters, as used in Welfare and Institutions Code Section 4622(a)(9) include, but are not limited to, setting purchase of service priorities, transferring funds to the purchase of service budget, and establishing policies and procedures with respect to payment for services.

(3) A conflict of interest exists when a governing board member is any individual described in Welfare and Institution Code Section 4626. A financial interest in regional center operations, as used in Welfare and Institutions Code Section 4626(a)(4), exists if it is reasonably foreseeable that the member’s interest, or the member’s decision regarding that interest, will have a material financial effect, on the board member’s interest in, or relationship with, the business entity or provide pursuant to Government Code, Section 87193. The financial effect is material if the decision will result in a benefit, detriment, gain, loss or profit to the member, entity or provider.

(b) The regional center governing board shall not enter or authorize the regional center to enter into any contract or any other type of agreement for pecuniary gain with any entity or provider in which a member has a conflict of interest as set forth in subsection (a)(1) and (a)(3) above, nor shall the board or board member allow the regional center to refer a client or prospective client to such an entity or provider.

(c) No regional center governing board member who has a conflict of interest shall continue to serve as a board member in violation of these provisions unless the board member has eliminated the conflict of interest or obtained a waiver pursuant to these regulations. This prohibition does not apply to the extent it is precluded by Welfare and Institutions Code, Section 4626(a)(3) and (b).

Please sign under one of the two statements below
to indicate whether or not a conflict of interest exists.

1. Under penalty of perjury, I certify to the best of my knowledge that **I have no conflict of interest or potential conflict of interest** as outlined above. Should there be a change in any of these areas, I agree to notify the Board of Trustees immediately, in writing.

Signature

Date

2. As defined above, **a potential conflict of interest exists**. My potential conflict is that:

Signature

Date

Reviewed by:

Secretary of the Board of Trustees

Date