



Board of Trustees Meeting

Wednesday, February 10, 2021

6:30 p.m.

Via Zoom Technology

Packet #2

Revised Agenda

North Los Angeles County Regional Center
Board of Trustees Meeting - *Via Zoom*
Wednesday, February 10, 2021
6:30 p.m.

~ **Revised AGENDA** ~

1. **Call to Order & Welcome** – Lety Garcia, Board President
2. **Housekeeping**
 - A. Spanish Interpretation Available
 - B. Public Attendance (please note name in Chat)
3. **Board Member Attendance** – Yesenia Martinez, Board Secretary
4. **Introductions** – Lety Garcia, Board President and Ruth Janka, Executive Director
5. **Public Input & Comments** (3 minutes)
6. **Consent Items**
 - A. Approval of Revised Agenda – *(Packet 2, Page 72)*
 - B. Approval of January 13th Board Meeting Minutes – *deferred*
7. **Committee Action Items**
 - A. Administrative Affairs Committee – Ana Quiles
 1. Approval of Contracts
 - a) Keolis Transit Services, LLC (HL0468-875 & PL1242-883) – *(Packet 1, Page 10)*
 - B. Government & Community Relations Committee – Gabriela Herrera
 1. Approval for Spring Legislative Town Hall Event
 - C. Post-Retirement Medical Trust Committee – Lety Garcia
 1. Approval of revised PRMT Critical Calendar – *(Packet 2, Page 76)*
 2. Recommendation for Deposit into CalPERS UAL Trust – *(Packet 2, Page 77)*
 - D. Strategic Planning Committee – Marianne Davis
 1. Approval of SPC Critical Calendar – *(Packet 1, Page 11)*

- E. Board Officers for FY 2021-22
- F. Executive Director Evaluation Form due back by March 5th – *(Packet 1, Page 12)*
& Instructions – *(Packet 2, Page 93)*
- G. Negotiating Committee Meeting with Executive Director

8. Additional Action Items

- 1. Board Resolution for the 1st Amendment to AV Lease – *(Packet 2, Page 95)*

9. Association of Regional Center Agencies – Angelina Martinez

- A. Report on ARCA Meetings

10. Executive Director’s Report – Ruth Janka – *(Packet 2, Page 98)*

11. Ad Hoc Bylaws Committee – Lety Garcia

- A. Minutes of January 5th Meeting – *(Packet 1, Page 19)*
- B. Proposed Timeline for Bylaws Revision

12. Administrative Affairs Committee – Ana Quiles

- A. Minutes of the January 27th Meeting – *deferred*
- B. FY 2020-21 Financial Report – *(Packet 2, Page 129)*
- C. 2nd Quarter Human Resources Report – *(Packet 1, Page 21)*

13. Consumer Advisory Committee – Caroline Mitchell

- A. Minutes of the January 6th Meeting – *deferred*
- B. Minutes of the February 3rd Meeting – *deferred*

14. Consumer Services Committee – Gabriela Herrera (New Committee Chair)

- A. Minutes of the January 20th Meeting – *deferred*
- B. 2nd Quarter Exceptions/Exemptions Report – *(Packet 1, Page 23)*
- C. 2nd Quarter Consumer Diagnosis Report – *(Packet 1, Page 26)*
- D. 2nd Quarter Appeals/Hearings Report – *(Packet 1, Page 32)*
- E. Town Hall Meeting Update

15. Executive Committee – Lety Garcia

- A. Minutes of the January 27th Meeting – *deferred*
- B. Approval of Ana Quiles Conflict of Interest Resolution Plan – *(Packet 1, Page 39)*

- C. Diversity and Inclusion Consultant Update
 - D. Board Buddies and New Board Member Support – *(Packet 1, Page 42)*
 - E. COVID Information Dissemination
 - F. Update on SDP Report at Board Meetings
 - G. Annual Board Retreat Update
- 16. Government & Community Relations – Jeremy Sunderland**
- A. Minutes of the January 20th Meeting – *deferred*
- 17. Nominating Committee – Curtis Wang**
- A. Minutes of the January 6th Meeting – *(Packet 1, Page 44)*
 - B. Minutes of the February 3rd Meeting – *deferred*
 - C. Status of Board & VAC Member Recruitment
 - D. Resignation of Ivette Arriaga
 - E. Next Meeting: TBD
- 18. Post-Retirement Medical Trust Committee – Lety Garcia**
- A. Minutes of January 27th Meeting – *deferred*
 - B. PRMT Board Report – *(Packet 2, Page 133)*
 - C. Next Quarterly Meeting: April 28th at 5:30 p.m.
- 19. Strategic Planning Committee – Marianne Davis**
- A. Minutes of the February 1st Meeting – *deferred*
 - B. 2nd Quarter Report on CIE and PIP Activities – *(Packet 1, Page 48)*
 - C. 2nd Quarter Report on Program Closures – *(Packet 1, Page 49)*
 - D. 2nd Quarter Report on New Vendorizations – *(Packet 1, Page 52)*
 - E. Update on Health and Safety Waiver Exemption Submissions
 - F. Next Quarterly Meeting: May 3rd at 6:00 p.m.
- 20. Vendor Advisory Committee - Sharoll Jackson**
- A. Minutes of the January 7th Meeting – *deferred*
 - B. Minutes of the February 4th Meeting – *deferred*
- 21. Old Business/New Business**
- A. Board and Committee Meeting Attendance Sheets – *(Packet 1, Page 54)*
 - B. Board and Committee Meetings Time Report – *(Packet 2, Page 135)*
 - C. Updated Acronyms Listing – *(Packet 1, Page 57)*
 - D. Meeting Evaluation – *(Packet 1, Page 63)*

22. Announcements/Information/Public Input

A. Next Meeting: Wednesday, March 10th at 6:30 p.m.

23. Adjournment



NLACRC
Post-Retirement Medical Trust Committee
CRITICAL CALENDAR
FY2020-2021

<u>Month</u>	<u>Activity</u>
July	<ul style="list-style-type: none"> • Orientation for committee members. Review policies & procedures and meeting schedule • Review approved critical calendar for new fiscal year • Review investment report for PRMT • Review investment report for CalPERS UAL Trust • Review Investment Strategy for PRMT Trust • Review Investment Strategy for CalPERS UAL Trust
October	<ul style="list-style-type: none"> • Review investment report for PRMT • Review investment report for CalPERS UAL Trust
January	<ul style="list-style-type: none"> • Review investment report for PRMT • Review investment report for CalPERS UAL Trust • <i>Report on Recommendation for Contribution to PRMT Trust</i> • <i>Report on Recommendation for Contribution to CalPERS UAL Trust</i>
April	<ul style="list-style-type: none"> • Review investment report for PRMT • Review investment report for CalPERS UAL Trust • <i>Report on Recommendation for Disbursement from PRMT Trust</i> • <i>Report on Recommendation for Disbursement from UAL Trust</i> • <i>Actuary Presentation of NLACRC's actuarial report</i>

[ccal.202021] Draft: January 27, 2021



UAL & PRMT Contribution Recommendation

Post-Retirement Medical Trust Committee

Wednesday, January 27, 2021

5:30pm

NLACRC, 9200 Oakdale Ave., Chatsworth, CA 91311

What is CalPERS?

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- CalPERS provides retirement and health benefits to more than 1.8 million employees, retirees, and their families for more than 3,000 employers
- Since March 13, 1974, NLACRC has contracted with CalPERS, to provide both retirement benefits and healthcare insurance to our employees.

What is CalPERS?

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- **CalPERS Retirement**
 - Based on defined formula
 - Payable for life
 - CalPERS manages funds
 - Who pays for CalPERS (per each \$1.00):
 - ✦ Investment Earnings: \$0.55
 - ✦ Employer Contributions: \$0.32
 - ✦ Employee Contributions: \$0.13

- **CalPERS Health Benefits**
 - Preferred Provider Organization (“PPO”);
 - Health Maintenance Organization (“HMO”)

CalPERS & PEPRA

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- Effective January 1, 2013, AB 340 Pension Reform also known as Public Employee's Pension Reform Act ("PEPRA") was enacted.
- The purpose of PEPRA was to create a more sustainable retirement system by:
 - Reducing employer retirement liability; and
 - Increasing the amount of employee contributions toward their retirement benefits.
 - Establishing CAPS or limitations on retirement benefits

NLACRC CalPERS Retirement Benefits

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Description	Employees Hired On or Before December 31, 1995	Employees Hired January 1, 1996 through January 31, 2008	Employees Hired February 1, 2008 through December 31, 2012	Employees Hired On or after PEPRAs January 1, 2013
Employee Classification	Classic Member	Classic Member	Classic Member	New Member
Benefit Formula	2% at Age 55	2% at Age 55	2% at Age 60	2% at Age 62
Final Compensation Period	1-Year Final Compensation	1-Year Final Compensation	1-Year Final Compensation	3-Year Final Compensation
Annual Compensation CAP (as of January 1, 2019)	No Cap	\$285,000	\$285,000	\$151,549
Employer Paid Contribution Rate	8.875%	8.875%	8.875%	8.875%
Employee (“Member”) Paid Contribution Rate	7.000%	7.000%	7.000%	7.25%
Employer Paid Member Contribution Rate	3.500%	3.500%	3.500%	0.000%: eff. 10/1/2014 3.500%: thru 9/30/2014

CalPERS Retirement Cost

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- Annually, CalPERS conducts an actuarial valuation of NLACRC's Retirement Plan.
 - The valuation represents the cost today for retirement benefits for both eligible employees and retirees
 - Cost assumptions include:
 - ✦ retiree census,
 - ✦ projected future retirees,
 - ✦ service years,
 - ✦ pension increases,
 - ✦ employee contribution rates,
 - ✦ change in life expectancy,
 - ✦ return on investments.

CalPERS Retirement Cost

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- Currently, NLACRC’s unfunded accrued retirement liability (“UAL”) is \$8,539,839
 - UAL is in addition to monthly employer contributions. During FY19-2020, monthly contributions made by NLACRC totaled nearly \$3.0 million
- Required lump sum UAL Payment over next 6 years or \$3.8 million:

	Required Contribution	Projected Future Employer Contributions (Assumes 7.00% Return for Fiscal Year 2019-20)				
Fiscal Year	2021-22	2022-23	2023-24	2024-25	2025-26	2026-27
Normal Cost %	8.45%	8.2%	8.0%	7.8%	7.6%	7.4%
UAL Payment	\$261,051	\$482,000	\$638,000	\$768,000	\$812,000	\$841,000

- CalPERS Actuarial Valuation – June 30, 2019 (Report dated July 2020)

CalPERS Retirement Cost

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- **The projected cost to terminate NLACRC's contract with CalPERS is \$85,410,660 million**
 - CalPERS Actuarial Valuation – June 30, 2019 (Report dated July 2020)
- Contract Termination Cost exceeds NLACRC's FY2020-2021 annual operations budget of \$59.1 million by (\$26.3) million
- Terminating NLACRC's contract with CalPERS is not a viable option.

NLACRC's Strategy

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- To mitigate the cost of CalPERS Retirement Benefits, NLACRC has established a UAL Trust
 - The objective of the UAL Trust is to fund the Trust to pay for the cost of mandated annual CalPERS retirement lump sum payments in order to minimize the financial impact on NLACRC's operations budget in future years.
 - To achieve this objective, NLACRC makes periodic contributions to the UAL Trust based on availability of operating funds

NLACRC CalPERS Health Insurance

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- Contract with CalPERS requires that retirees be provided the same health insurance benefits as active employees.
 - Currently, Retiree Health Insurance premiums are paid from NLACRC's annual operations budget
 - FY2019-2020 Retiree premiums were \$919.6 thousand

CalPERS Health Insurance Benefit Cost

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- Annually, an actuarial valuation is conducted of NLACRC's Retirement Plan.
 - ✦ The valuation represents the cost today for health insurance benefits for both eligible employees and retirees
- As of June 30, 2019, the total cost of Unfunded Retiree Healthcare Insurance Benefits was \$27.0 million (\$52.5 less \$25.4).
 - ✦ Cost assumptions include retiree census, projected future retirees, service years, pension increases, employee contribution rates, change in life expectancy, and return on investments.

CalPERS Health Insurance Alternative

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- CalPERS offers a self-funded health plan program, California Employers' Benefit Trust Fund ("CERBT"), under IRS Section 115,
 - ✦ IRS Section 115 dedicated to prefunding Other Post Employment Benefits ("OPEB") such as retiree health, vision, dental or life insurance benefits for all eligible California public agencies or other entities not contracted with CalPERS.
- IRS federal laws provide that only governmental agencies may participate in the CERBT.
 - ✦ NLACRC is private, non-profit 501(c)(3) rather than a governmental agency
 - ✦ IRS federal laws prohibit NLACRC from participating in the CERBT program offered by CalPERS.
 - NLACRC will continue to monitor changes in IRS federal laws that may change this prohibition

NLACRC's Strategy

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- To mitigate the cost of Retiree Health Insurance benefits, NLACRC established a Post Retirement Medical Trust (“PRMT”).
 - The objective of the PRMT is to fund the trust to pay for the cost of retiree health insurance benefits in order to reduce/eliminate the cost of retiree healthcare costs that is paid from NLACRC's operations budget.
 - To achieve this objective, NLACRC makes periodic contributions to the PRMT based on availability of operating funds

Competing Strategy

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- Both the reduction of retiree unfunded healthcare liability and retiree unfunded pension liability are important
 - ✦ Payment of the unfunded pension liability is a mandated annual scheduled payment;
 - ✦ Payment of the unfunded healthcare liability does not have a mandated scheduled payment.
- Given the choice between using available operations funds to reduce unfunded retiree healthcare liability or to reduce unfunded pension liability, reducing the unfunded pension liability will always be the priority.

Contribution & Disbursement Practices

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Description	PRMT	CalPERS UAL
<p>Contributions</p>	<p>PRMT Committee action on June 29, 2005 that designated the Executive Director to direct the amount of the annual contribution to the PRMT.</p> <p>Annual contribution to PRMT encumbered by written memo signed by the Executive Director prior to the end of each fiscal year.</p> <p>Each time an annual contribution was directed into the PRMT by the Executive Director, an update was provided to the PRMT Committee and made part of the PRMT report to the full Board</p>	<p>PRMT Committee action to recommend contribution to the CalPERS UAL.</p> <p>Each time an annual contribution was directed into the CalPERS UAL by an action of the PRMT committee, it was made part of the PRMT report to the full Board</p>
<p>Disbursements</p>	<p>PRMT “is responsible for exercising all rights and responsibilities granted it under the terms of the trust, including but not limited to:” “Direct the trustee regarding trust disbursements to pay post-retirement medical benefits”</p>	<p>PRMT “is responsible for exercising all rights and responsibilities granted it under the terms of the trust, including but not limited to:”</p>

Available Contribution Funds

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- \$787,038 encumbered during Fiscal Year 2018-2019
- Recommendation(s) to PRMT Committee:
 - Redirect the available FY2018-2019 encumbered funds from PRMT to the CalPERS UAL to minimize the impact that the annual CalPERS lump sum payments will have on NLACRC's future operations budget
 - Memorialize the PRMT Committee discussion held on April 29, 2020 that the available FY2019-2020 encumbered funds will be directed to CalPERS UAL
 - Update PRMT Committee Policy & Procedures to reflect UAL Trust



MEMORANDUM

DATE: February 10, 2021

TO: NLACRC Board Members

FROM: Michele K. Marra, Chief Organizational Development Officer

RE: Completion of Executive Director's Performance Evaluation – Due March 9, 2021

In accordance with the Executive Director's Evaluation Board of Trustees Policy, all board members with three (3) or months of time served are required to participate in the executive director's performance evaluation. Please note that failure to submit a signed evaluation to the board president or their designee by the scheduled date will be treated as resignation from the board.

The board president will be available to help board members who need assistance regarding **content**, therefore, please feel free to contact Lety Garcia at GarciaLety818@gmail.com or you may call her at (818)307-9113.

If you are experiencing any **technical difficulties** completing the performance evaluation, please feel free to contact Michele Marra at mmarra@nlacrc.org or you may call her at (818)540-5739.

Attached you will find the Executive Director Performance Evaluation that is in an Adobe PDF fillable form, please complete all areas and return to Michele Marra at mmarra@nlacrc.org **no later than March 9, 2021.**

Thank you for your participation in this board process!

Additional Committee Action Items

BOARD RESOLUTION

FIRST AMENDMENT TO LEASE AGREEMENT

Background:

NLACRC entered into a Lease, dated August 13, 2019, with Fraber Properties II LLC, in which the landlord agreed to lease 38,288 of rentable square footage (rsf) of office space to NLACRC at 43860 10th Street West, Lancaster, CA 93534.

The First Amendment establishes the following:

1. The commencement date of the Lease is February 1, 2021
2. The expiration date of the Lease is January 31, 2036
3. The deadlines to exercise options to extend Lease term for five years each
 - a. First Option: written notice to Landlord between August 1, 2034 and May 1, 2035
 - b. Second Option: written notice to Landlord between August 1, 2039 and May 1, 2040
4. Establishes the Base Year to be Year 2021 rather than Year 2020
5. Establishes the rentable square footage to be 38,288 rsf
6. Establishes that NLACRC will receive a holdover credit in the amount of \$3,835.40 which will be received in the form of a credit against the first payment of rent due under the Lease.

BOARD OF TRUSTEE RESOLUTION:

The North Los Angeles County Regional Center (“NLACRC”) Board of Trustees discussed and reviewed the First Amendment to Lease for the office space, located at 43850 10th Street West, Lancaster, CA 93534, and passed the following resolution:

RESOLVED THAT in compliance with NLACRC’s Board of Trustee Contract Policy, the First Amendment to Lease between NLACRC and Fraber Properties II LLC (“Landlord”), was discussed and reviewed by NLACRC’s Board of Trustees

BOARD RESOLUTION

FIRST AMENDMENT TO LEASE AGREEMENT

on February 10, 2021. The NLACRC Board of Trustees hereby approves and designates any Officer of NLACRC, to finalize, execute, and deliver the First Amendment to Lease, in such form as NLACRC’s legal counsel may advise, and on such further terms and conditions as such Officer may approve. The final terms of the First Amendment to Lease shall be conclusively evidenced by the execution of the Lease Agreement by such Officer. For purposes of this authorization, an “Officer” means NLACRC’s Executive Director, Deputy Director-Chief Financial Officer, Chief of Program Services, or Chief Organizational Development Officer, and no one else

CERTIFICATION BY SECRETARY: I certify that: (i) I am the Secretary of the NLACRC; (ii) the foregoing Resolution is a complete and accurate copy of the Resolution duly adopted by NLACRC’s Board of Trustees; iii) the Resolution is in full force and has not been revoked or changed in any way.

Lillian Martinez, Board Secretary

February 10, 2021
(Date)

Executive Director's Report

Executive Director's Report

February 10, 2021

I. LEGISLATION

A. Budget and Legislative Priorities

1. **Lanterman Coalition**

Recommendations for consideration in budget and legislative discussions include:

- a) Prioritizing Health & Safety of Clients, Families and Staff during Pandemic
- b) Strengthening Individual and Family Access to Critical Services and Supports
- c) Stabilizing the Essential DSP Workforce by Professionalizing Wages

2. **Association of Regional Center Agencies**

Developing legislative proposals directed toward improving service access for diverse communities. ARCA is also partnering with the Lanterman Coalition on priorities for budget and legislative discussions, and is discussing opportunities for shared advocacy with Service Employees International Union (SEIU) on common goals, such as caseload ratio relief.

The legislative calendar requires bills to be introduced by February 19th.

B. Assembly Member Valladares

Met with Assembly Member Valladares on Friday, February 5th, to discuss current priorities for the developmental disabilities services system and support for upcoming legislative priorities. Assembly Member Valladares attended NLACRC's Legislative Forum in 2019 and has a genuine interest in our community.

C. Legucator Contract

The Consulting Services Agreement with Advanced Behavioral Pathways, LLC (Michelle Heid) has been revised, is under review by the consultant and will be reviewed at Government & Community Relations Committee this month.

II. STATE/LOCAL UPDATES

A. Statistics

California Department of Public Health (CDPH) COVID-19 Update:

<https://covid19.ca.gov/> as of Saturday, February 6, 2021

3,346,340 COVID-19 positive; 44,150 deaths; 44,323,550 tests,

12,085 (<) COVID-19 positive hospitalized; available ICU beds 1,377
4,746,539 vaccinations administered

LA County Public Health COVID Update as of Monday, February 6, 2021

<http://publichealth.lacounty.gov>

1,146,450 total cases reported and 18,044 deaths.

4,421 (<) hospitalizations; Positivity **Rate: 9.6% (<) (7-day average)**

Current County Risk Level: Tier 1 – (Purple - Widespread)

Regional Centers Statewide COVID Data <https://dds.ca.gov/> as February 2, 2021.

12,725 positive and 458 deaths statewide.

NLACRC COVID Update – as of February 1, 2021:

Total cumulative number: 870 positive cases (719 active, 111 recovered, 40 deaths) Of those positive cases, 137 were hospitalized.

B. DDS Directives/Guidance

DDS Directive issued 1/29/2021 that requires consumer & family contacts, hospital contacts, testing & vaccination plans, and potential regional center workload relief. We have also received guidance regarding the waiver of Self-Determination Budget Program Restrictions for FMS payments, and reporting requirements for Alternative Nonresidential Services.

Please note that all directives are available on NLACRC's website

C. Vaccine Distribution

Phase 1A Tiers 1-3 and Phase 1B – 65 years and older.

Family member Caregivers of individuals with a developmental disability who meet specific criteria are considered to meet the definition of “healthcare worker” and thus, are currently eligible for the COVID19 vaccination, as well as In Home Support Services workers and vendored service provider staff.

LA County Public Health is now accepting family member caregivers with a letter from a regional center **specifying the caregiver** and the consumer, and the consumer's qualifying condition. Regional center employees will also be accepted for vaccination with a badge or pay stub AND photo ID.

D. Immunization Tracking

NLACRC will be tracking consumer COVID19 vaccinations in the consumer record. Inquiries will be made during consumer family contacts, whether initiated by NLACRC or received; also during routine planning meetings.

E. Personal Protective Equipment (Essential Protective Gear)

NLACRC continues to request EPG/PPE and distribute to providers, consumers and families as need.

F. COVID Prevention Plan

COVID Prevention Plan, as required by CalOSHA Emergency Regulations, is finalized. This plan addresses face covering requirements, in person meeting requirements, work exclusions due to COVID positivity and exposure and reporting requirements.

III. REGIONAL CENTER OPERATIONS

A. Public Meetings/Community Engagement

1. POS Expenditure Meeting

Meetings are scheduled for March 11th at 11:00 AM – 12:30 PM and 6:30 PM – 8:00 PM.

2. Virtual Town Halls:

Meeting held on February 4th from 11:30 AM – 12:30 PM. Topic was COVID Vaccination Information. Meeting was well attended, with significant engagement from our community. Next town hall meeting date and time to be determined.

3. Support/Chat Groups

Parent Check In and Chat, Filipino Support Group, Santa Clarita Parent Chat Group, Parent of Adult Consumers Support Group, Cultivar y Crecer, Cafecito Entre Nos, Alianza de Hombres, and more. Dates, times and links are available on NLACRC's website (Calendar of Events)

B. Agency Disaster Recovery Plan

Sessions with each of the agency's departments are being currently conducted to evaluate each area of operation and disaster impacts, directed toward development of a business continuity plan in the event an emergency or disaster.

C. Antelope Valley Office Relocation

NLACRC is now occupying the new Antelope Valley Office with some staff working onsite.

D. Staff/ Staff Recruitment

Currently we have recruited and hired 24 Consumer Services Coordinators since December 1. We have 13 CSC positions vacant on existing caseloads and 11 growth positions opened as of January 1 (growth positions are directed toward reducing caseload size). We are interviewing for a Consumer Services Director – SDP/Branches; reviewing resumes for the positions of Chief Financial Officer, Contract and Compliance Manager, and Diversity & Inclusion Specialist. We have identified a candidate for the Community Placement Plan Supervisor. 2 SDP Junior Accountant positions.

E. Quality Assurance – Attachment 1

For the month of January, Community Services conducted 1,060 **weekly** contacts with providers; 24 virtual unannounced visits, 8 virtual contacts (21 SFV, 11 AV, 0 SCV via Zoom, FaceTime or DUO) and 1,028 telephonic contacts. One corrective action plan was issued in response to a failure to report a special incident as required by regulation.

F. Consumer Statistics – Attachment 2

As of January 31, the center served 28,127 consumers and applicants, including 3,737 in Early Start, 543 in Intake, and 23,690 in the Lanterman program. Of note, intake for both Early Start and Non-Early Start (individuals over the age of 3) continues to climb slowly.

G. Special Incident Reporting – Attachment 3

The center received 109 special incident reports, including 121 incident types, all reported to DDS in the month of January. Of note, 91 reports are related to incidents that occurred in January while the remainder occurred prior and were reported to the Center in January. There is an increase in the number of deaths reported in January as compared to prior months and the prior year due to the addition of COVID19 related deaths.

H. Self-Determination Program (SDP)

Interviews for providers who responded to the Request for Proposal and interested in providing individualized coaching and supports, are scheduled for this month.

Interviews for the vacant seat on the Center's local volunteer advisory committee are scheduled for this month.

The next local volunteer advisory committee meeting is Thursday, Feb. 21 at 7:00pm.

Statistics regarding program implementation are as follows: 158 individuals eligible, 145 Orientation Completed, 13 Orientation Needed, 54 completed person center plans, 40 certified budgets, 30 budgets in progress, and 34 fully active participants with approved spending plans.

Residential and Day Program Quality Assurance Monitoring Activities
January 2021 - December 2021

Month	Jan	Feb	March	April	May	June	July	Aug	Sept	Oct	Nov	Dec	Totals
# of Res'l & Day QA Staff	7												
# Annual Facility Monitoring Visits	0												
# Unannounced Visits	24												
# Corrective Action Plans Issued	1												1
*Substantial Inadequacies Cited:													
1.Threat to Health or Safety													
2.Provision of fewer staff hours than req'd													
3.Violations of Rights													
4.Failure to implement consumer's IPP													
5.Failure to comply with Admission Agreement	1												
6.Deficiencies handling consumers' cash resources													
7.Failure to comply with staff training reqs													
8.L4 fails to use methods per program design													
9.L4 fails to measure consumer progress													
10.Failure to take action per CAP													
11.Failure to use rate increase for purposes authorized													
12.Failure to ensure staff completes DSP requirements.													
13.Failure to submit Special Incident Report	1												
*per Title 17 §56054(a)	2												

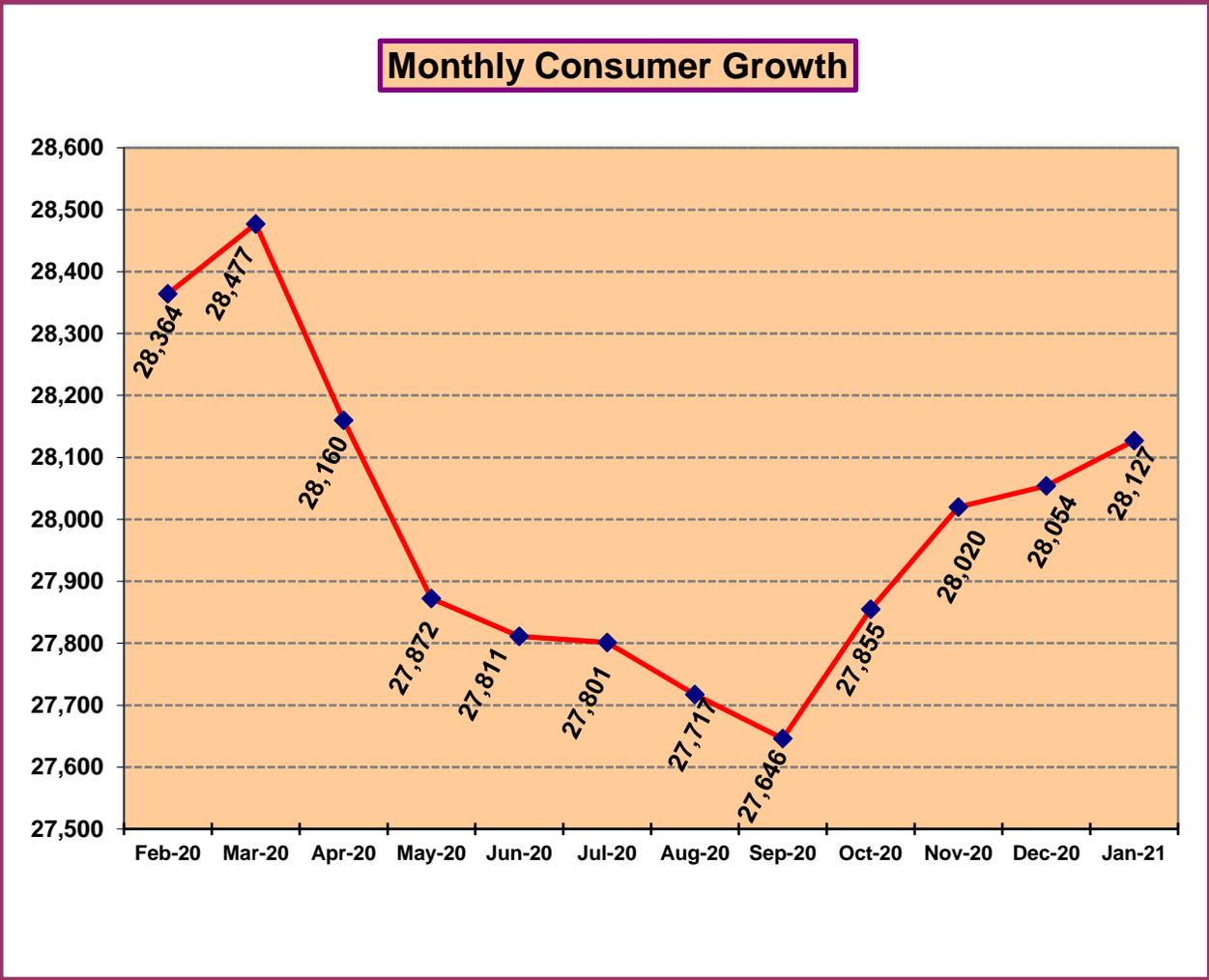
**NORTH LOS ANGELES COUNTY REGIONAL CENTER
MONTHLY STATISTICS RECAP
As of January 2021**

	February 2020 Total	January 2021 Total	Increase/ Decrease	% Change
ALL VALLEYS				
Total Non-Early Start	22,848	23,690	842	3.69%
Total Early Start	4,238	3,737	-501	-11.82%
Unit Supervisor Cases (*)	81	67	-14	-17.28%
Self Determination Specialist (*)	17	34	17	100.00%
Prenatal Services	0	0	0	0.00%
Development Center	16	17	1	6.25%
Enhanced Case Mgmt	31	30	-1	-3.23%
Pending Transfer	44	54	10	22.73%
Intake Services	1,089	498	-591	-54.27%
TOTAL ALL VALLEYS	28,364	28,127	-237	-0.84%
SAN FERNANDO VALLEY				
Adult Services	6,048	6,167	62	1.03%
Adult Unit Supervisor (*)	3	6	3	100.00%
Transition Services	2,827	2,857	30	1.06%
Transition Unit Supervisor (*)	34	15	-19	-55.88%
School Age Services	5,535	5,859	324	5.85%
School Age Unit Supervisor (*)	29	19	-10	-34.48%
Early Start Services	2,836	2,411	-425	-14.99%
Early Start Unit Supervisor (*)	1	2	1	100.00%
Early Start Intake Unit Supervisor (*)	0	0	0	#DIV/0!
Prenatal Services	0	0	0	0.00%
Development Center	16	17	1	6.25%
Enhanced Case Mgmt	31	30	-1	-3.23%
Pending Transfer	44	54	10	22.73%
Intake Services	677	279	-398	-58.79%
Self Determination Specialist (*)	0	14	14	#DIV/0!
TOTAL	18,014	17,674	-408	-2.26%
ANTELOPE VALLEY				
Self Determination Specialist (*)	1	6	5	500.00%
Adult Services	2,291	2,306	15	0.65%
Adult Unit Supervisor (*)	6	4	-2	-33.33%
Transition Unit	1,644	1,677	33	2.01%
Transition Unit Supervisor (*)	7	13	6	85.71%
School Age Services	1,921	2,085	164	8.54%
School Age Unit Supervisor (*)	1	8	7	700.00%
Early Start Services	845	833	-12	-1.42%
Intake Services	412	219	-193	-46.84%
TOTAL	7,113	7,120	18	0.25%
SANTA CLARITA VALLEY				
Self Determination Specialist (*)	16	14	-2	-12.50%
Adult Services	854	895	41	4.80%
Transition Services	514	617	103	20.04%
School Age Services	1,214	1,227	13	1.07%
Early Start Services	557	493	-64	-11.49%
TOTAL	3,139	3,232	93	2.96%

* Numbers not part of ratio count, but counted on Total All Valleys

NLACRC TOTAL (ALL SERVICES) MONTHLY CONSUMER GROWTH ALL VALLEYS

Month	Consumers	Growth	% Change
Feb-20	28,364	113	0.40%
Mar-20	28,477	-317	-1.11%
Apr-20	28,160	-288	-1.02%
May-20	27,872	-61	-0.22%
Jun-20	27,811	-10	-0.04%
Jul-20	27,801	-84	-0.30%
Aug-20	27,717	-71	-0.26%
Sep-20	27,646	209	0.76%
Oct-20	27,855	165	0.59%
Nov-20	28,020	34	0.12%
Dec-20	28,054	73	0.26%
Jan-21	28,127		
Total		-237	
Average		-22	
Percent Chg		-0.84%	



January 2021 CSC Caseload Ratio

San Fernando Valley								
Adult Services	Consumers	Ser. Coord.	Case Ratio	Opening	Hold	Floater	OD	Assoc.
Adult Unit I	1,018	11	92.5					
Adult Unit II	1,016	11	92.4	1		1		
Adult Unit III	13							
Adult Unit IV	1,084	12	90.3					
Adult Unit V	1,012	10	101.2	1			1	
Adult Unit VI	1,010	11	91.8					
Adult Unit VII	1014	11	92.2					
Adult Unit Supervisor*	6							
Total	6,167	66	93.4	2		1	1	
Transition Services	Consumers	Ser. Coord.	Case Ratio	Opening	Hold	Floater	OD	Assoc.
Transition Unit I	896	9	99.6	1		1		
Transition Unit II	925	11	84.1				1	
Transition Unit III	1,036	12	86.3					
Transition Unit Supervisor*	15							
Total	2,857	32	89.3	1		1	1	
School Age Services	Consumers	Ser. Coord.	Case Ratio	Opening	Hold	Floater	OD	Assoc.
School Age III	1,196	12	99.7	1				
School Age IV	1,236	12	103.0	1			1	
School Age V	1,053	12	87.8					
School Age VI	1,192	13	91.7					
School Age VII	1,182	13	90.9					
School Age Unit Supervisor*	19							
Total	5,859	62	94.5	2			1	
Early Start Services	Consumers	Ser. Coord.	Case Ratio	Opening	Hold	Floater	OD	Assoc.
Early Start 1 (Status 1 & 2)	585							
Early Start 1 Intake	72							
Early Start 1 Total	657	11	59.7					3
Early Start 2 (Status 1 & 2)	560							
Early Start 2 Intake	84							
Early Start 2 Total	644	11	58.5					
Early Start 3 (Status 1 & 2)	450							
Early Start 3 Intake	47							
Early Start 3 Total	497	9	55.2			1		
Early Start 4 (Status 1 & 2)	546							
Early Start 4 Intake	67							
Early Start 4 Total	613	11	55.7					
Status 1 Over 36 mo.	39							
Early Start Unit Supervisor*	2							
Early Start Intake Unit Supervisor*								
Total	2,411	42	57.4			1		3
Total Non-Early Start	14,883	160	93.0	5		2	3	
Total Early Start	2,411	42	57.4			1		3
Total	17,294	202	85.6	5		3	3	
SFV Self Determination Specialist*	14	2						
Intake Services	279	5	55.8					2
Antelope Valley								
AV Self Determination Specialist*	Consumers	Ser. Coord.	Case Ratio	Opening	Hold	Floater	OD	Assoc.
AV Self Determination Specialist*	6	1						
Adult Unit I	954	11	86.7					
Adult Unit II	980	11	89.1					
Adult Unit III	372	3	124.0	1				
Total	2,306	25	92.2	1				
AV Adult Unit Supervisor*	4							
Transition Unit I	984	10	98.4	1			1	
Transition Unit II	693	6	115.5	2				
Total	1,677	16	104.8	3			1	
AV Transition Supervisor*	13							
School Age I	1,050	10	105.0	3		2		
School Age II	1,035	11	94.1				1	
Total	2,085	21	99.3	3		2	1	
AV School Age Supervisor*	8							
Early Start (Status 1 & 2)	624							
Early Start Intake	209							
Early Start Total	833	13	64.1					
Status 1 Over 36 mo.	41							
Total Non-Early Start	6,068	62	97.9	7		2	2	
Total Early Start	833	13	64.1					
Total	6,901	75	92.0	7		2	2	
Intake Services	219	3	73.0					1
Santa Clarita Valley								
SCV Self Determination Specialist*	Consumers	Ser. Coord.	Case Ratio	Opening	Hold	Floater	OD	Assoc.
SCV Self Determination Specialist*	14	1						
Adult Unit	895	10	89.5					
Transition Unit I	108	1	108.0	1				
Transition Unit II	509	5	101.8					
Total	617	6	102.8	1				
School Age Unit I	858	8	107.3	2				
School Age Unit II	369	3	123.0	2				
Total	1,227	11	111.5	4				
Early Start (status 1 & 2)	429							
Early Start Intake	64							
Early Start Total	493	8	61.6			1		
Status 1 Over 36 mo.	7							
Total Non-Early Start	2,739	27	101.4	5				
Total Early Start	493	8	61.6			1		
Total	3,232	35	92.3	5		1		

January 2021 CSC Caseload Ratio								
All Valleys	Consumers	Ser. Coord.	Case Ratio	Opening	Hold	Floater	OD	Assoc.
Total Non-Early Start	23,690	249	95.1	17		4	5	
Total Early Start	3,737	63	59.3			2		3
Total Early Start (Status 1 & 2)	3,194							
Total Early Start Intake	543							
*Self Determination Specialist	34	4						
*Total Non Early Start Supervisor	65							
*Total Early Start Supervisor Status 1&2	2							
*Total Early Start Supervisor Intake								
Total Status 1 Over 36 mo.	87							
Sub-total	27,427	316	86.8	17		6	5	
Intake Services	498	8	62.3					3
Prenatal Services								
Development Center	17							
Enhanced Case Management	30	1						
Specialized 1:25 Caseloads				3				
Pending Transfer	54							
Shared-in	8							
Shared-out	26							
Medicaid Waiver	9,861							
Total	28,127	325	86.5	20		6	5	6
Total =		356	344					
* Numbers not part of ratio count, but counted on Total Summary section								

Special Incident Reports in January 2021

Special Incidents	Children	Adults	Total
Other	1	61	62
Death	1	28	29
			91

Special Incident Reports From Prior Months & Reported in January 2021

Special Incidents	Children	Adults	Total
Other	0	11	11
Death	0	7	7
			18
TOTAL			109

Special Incident Types Report
November 2020 through January 2021 & January 2020

Reasonably Suspected Abuse	21-Jan	20-Dec	20-Nov	20-Jan
Physical Abuse/Exploitation	2	1	5	3
Sexual Abuse/Exploitation	0	0	2	2
Fiduciary Abuse/Exploitation	0	1	1	1
Emotional/Mental Abuse/Exploitation	1	4	2	7
Physical and/or Chemical Restraint	1	1	1	4
Total:	4	7	11	17
Neglect				
Failure to Provide Care to Elderly/Adult	2	2	0	0
Failure to Provide Medical Care	0	0	0	0
Failure to Prevent Malnutrition	0	0	0	0
Failure to Prevent Dehydration	0	0	0	3
Failure to Protect from H/S Hazards	0	1	2	0
Failure to Assist w/ Personal Hygiene	0	0	2	0
Failure to Provide Food/Cloth/Shelter	0	0	0	0
Total:	2	3	4	3
Serious Injuries/Accidents				
Lacerations	3	1	4	8
Puncture wounds	0	0	0	0
Fractures	3	10	5	5
Dislocations	2	1	0	0
Bites	1	0	0	0
Internal Bleeding	0	1	2	4
Medication Errors	14	7	9	17
Medication Reactions	0	0	0	1
Burns	0	0	0	0
Total:	23	20	20	35
Unplanned/Unscheduled Hospitalization				
Respiratory Illness	21	29	12	20
Seizure Related	0	3	2	2
Cardiac Related	1	1	1	2
Internal Infections	18	41	15	15
Diabetes	2	3	1	0
Wound/Skin Care	2	1	3	4
Nutritional Deficiencies	4	2	0	0
Involuntary Psych Admission	5	8	12	14
Total:	53	88	46	57
Victim of Crime				
Robbery	0	0	0	0
Aggravated Assault	0	2	0	1
Larceny	1	0	0	0
Burglary	0	0	0	2
Rape or Attempted Rape	1	0	1	0
Total:	2	2	1	3
Other				
Missing Person-Law Notified	1	3	1	8
Death	36	13	9	13
Total:	37	16	10	21
Total Incidents*	121	136	92	136

***Please note that some Special Incident Reports include multiple reportable incident types and thus, this summary reflects the total number of incident types received for the timeframe indicated.**

Incidents of Death Children		Incidents from prior months and reported in January	
Age:			
Inc. Date:			

Incidents of Death Adults		Incidents from prior months and reported in January	
Age: 36 Inc. Date: 12/28/20	Consumer received Independent Living services. His mother reported that he was at a friend's house, using drugs. He needed medical attention, but did not respond to treatment. He passed away.	CSC to follow up and request a copy of the death certificate. This case will be forwarded to the Mortality Review Committee for record review. Coroner and Law Enforcement were notified of this incident.	
Age: 40 Inc. Date: 6/24/20	Consumer lived with family. He was in the hospital, recovering from surgery. He went into shock and experienced organ failure. He passed away.	CSC to follow up and request a copy of the death certificate. This case will be forwarded to the Mortality Review Committee for record review.	
Age: 40 Inc. Date: 12/19/20	Consumer lived with family. Staff called an ambulance because she was not responsive and not breathing. She was taken to the hospital. Her mother reported that she passed away in the hospital due to hypoxemia.	CSC to follow up and request a copy of the death certificate. This case will be forwarded to the Mortality Review Committee for record review.	
Age: 41 Inc. Date: 10/14/20	Consumer lived in a Rehabilitation center. DDS sent notification that their records indicate this consumer is deceased but no SIR was submitted. Incident date is date CSC learned of the death; exact date and cause of death are unknown.	CSC to follow up and request a copy of the death certificate. This case will be forwarded to the Mortality Review Committee for record review.	
Age: 60 Inc. Date: 12/31/20	Consumer lived in an ICF/DD-H. He was in the hospital for treatment after testing positive for COVID-19 virus. He passed away.	CSC to follow up and request a copy of the death certificate. This case will be forwarded to the Mortality Review Committee for record	

		review. Community Care Licensing was notified of this incident.	
Age: 63 Inc. Date: 12/29/20	Consumer lived in an Acute General hospital. CSC was informed of her passing by day program director. No details of her death were provided.	CSC to follow up and request a copy of the death certificate. This case will be forwarded to the Mortality Review Committee for record review.	
Age: 72 Inc. Date: 12/31/20	Consumer lived in an ICF/DD-H. He passed away in a Skilled Nursing facility. Details of his passing were not provided.	CSC to follow up and request a copy of the death certificate. This case will be forwarded to the Mortality Review Committee for record review.	

Other Incidents Children	Incidents from prior months and reported in January		
Age: Inc. Date:			

	Description	Action	Final Disposition
Other Incidents Adults	Incidents from prior months and reported in January		
Age: 18 Inc. Date: 12/31/20	Consumer lives with family. Staff reported to parent that consumer had slapped a staff member and he slapped him back.	CSC to follow up. Adult Protective Services was notified of this incident.	
Age: 37 Inc. Date: 12/27/20	Consumer resides in an ICF/DD-H. She tested positive for COVID-19 virus. She was in respiratory distress. Paramedics	CSC to follow up. Department of Public Health, NLACRC Community Services and Nurse Consultant were	

	Description	Action	Final Disposition
	took her to the hospital. She was admitted for treatment of pneumonia.	notified of this incident.	
Age: 47 Inc. Date: 12/30/20	Consumer receives Supported Living services. Staff inadvertently gave him a higher dose of his bedtime medication.	CSC to follow up. NLACRC Nurse Consultant was notified of this incident.	
Age: 52 Inc. Date: 12/30/20	Consumer receives Supported Living services. His oxygen levels were decreasing. He was taken to the ER, and tested positive for COVID-19 virus. He was admitted to the hospital for treatment.	CSC to follow up. NLACRC Nurse Consultant was notified of this incident.	
Age: 54 Inc. Date: 12/31/20	Consumer receives Supported Living services. He was having severe stomach pain. Nurse referred him to the ER. He was admitted to the hospital for treatment of a bladder infection and a bowel obstruction.	CSC to follow up. NLACRC Nurse Consultant was notified of this incident.	
Age: 66 Inc. Date: 11/20/20	Consumer resides in a CCF (RCFE). He had a hoarse voice and a cough with general weakness. His sister took him to the hospital. He was admitted for evaluation and treatment.	CSC to follow up. Community Care Licensing and NLACRC Nurse Consultant was notified of this incident.	
Age: 66 Inc. Date: 12/18/20	Consumer resides in a CCF (RCFE). Staff noticed a wound in his private area. He was taken to the hospital, and admitted for evaluation and treatment. He was later released into hospice care.	CSC to follow up. Community Care Licensing and NLACRC Nurse Consultant was notified of this incident.	
Age: 66 Inc. Date: 12/26/20	Consumer resides in an ICF/DD-H. He was hospitalized due to fever and low oxygen saturation. He tested positive for COVID-19 virus.	CSC to follow up. NLACRC Community Services and Nurse Consultant were notified of this incident.	
Age: 67 Inc. Date: 12/13/20	Consumer resides in an ICF/DD-N. His breathing was fast and labored. His pulse was high, and oxygen saturation was low. Paramedics took him to the ER. He was admitted to the hospital for treatment of pneumonia. He tested	CSC to follow up. Department of Health Services, NLACRC Community Services and Nurse Consultant were notified of this incident.	

	Description	Action	Final Disposition
	positive for COVID-19 virus.		
Age: 67 Inc. Date: 12/26/20	Consumer resides in an ICF/DD-H. Staff mistakenly gave him a double dose of his medication.	CSC to follow up. Department of Health Services, NLACRC Community Services and Nurse Consultant were notified of this incident.	
Age: 67 Inc. Date: 12/27/20	Consumer resides in a CCF. He had tested positive for COVID-19 virus. He was found on the floor, unable to stand up. Ambulance took him to the hospital. He was admitted for treatment of pneumonia.	CSC to follow up. Community Care Licensing, NLACRC Community Services and Nurse Consultant were notified of this incident.	

	Description	Action	Final Disposition
Incidents of Death Children			
Age: 17 Inc. Date: 1/16/21	Consumer lived with family. She had tested positive for COVID-19 virus. She was in the hospital, and was placed on a ventilator. She passed away.	CSC to follow up and request a copy of the death certificate. This case will be forwarded to the Mortality Review Committee for record review.	

	Description	Action	Final Disposition
Incidents of Death Adults			
Age: 25 Inc. Date: 1/26/21	Consumer lived with family. Mother reported that she was depressed and went to a friend's home where she had access to a gun. She shot herself and passed away. It is unclear whether the	CSC to follow up and request a copy of the death certificate. This case will be forwarded to the Mortality Review Committee for record review. Law Enforcement and	

	Description	Action	Final Disposition
	friend gave her the gun. There is currently an ongoing police investigation.	Coroner were notified of this incident.	
Age: 37 Inc. Date: 1/7/21	Consumer lived in an ICF/DD-H. She was in the hospital for treatment of COVID pneumonia. Her condition continued to deteriorate. She passed away.	CSC to follow up and request a copy of the death certificate. This case will be forwarded to the Mortality Review Committee for record review.	
Age: 41 Inc. Date: 1/4/21	Consumer lived with family. She had been in the hospital for about a month. She passed away from complications of sepsis and tuberculosis.	CSC to follow up and request a copy of the death certificate. This case will be forwarded to the Mortality Review Committee for record review.	
Age: 45 Inc. Date: 1/22/21	Consumer lived in a CCF. He was in the hospital for treatment of COVID-related symptoms. He had a heart attack while in the hospital, and passed away.	CSC to follow up and request a copy of the death certificate. This case will be forwarded to the Mortality Review Committee for record review. Community Care Licensing was notified of this incident.	
Age: 46 Inc. Date: 1/4/21	Consumer lived in a CCF. He was in the hospital due to respiratory distress. He tested positive for COVID-19 virus. He passed away.	CSC to follow up and request a copy of the death certificate. This case will be forwarded to the Mortality Review Committee for record review. Community Care Licensing and Long Term Care Ombudsman were notified of this incident.	
Age: 47 Inc. Date: 1/29/21	Consumer lived in a Continuous Nursing facility. She was lethargic, and no longer moving upper extremities. Hospice care was ordered. She was noted with rapid, shallow breathing. She passed away.	CSC to follow up and request a copy of the death certificate. This case will be forwarded to the Mortality Review Committee for record review. Department of Health Services was notified of this incident.	
Age: 49 Inc. Date: 1/1/21	Consumer lived in a CCF. He was having difficulty breathing. 911 was called. He tested positive for COVID-19 virus, and was admitted to the hospital ICU. He passed away.	CSC to follow up and request a copy of the death certificate. This case will be forwarded to the Mortality Review Committee for record review. Community Care Licensing	

	Description	Action	Final Disposition
		and NLACRC Community Services were notified of this incident.	
Age: 51 Inc. Date: 1/10/21	Consumer received Supported Living services. He tested positive for COVID-19 virus, and was in the hospital for treatment. He passed away.	CSC to follow up and request a copy of the death certificate. This case will be forwarded to the Mortality Review Committee for record review.	
Age: 56 Inc. Date: 1/6/21	Consumer lived with family. She had been suffering from Alzheimer's disease since 2018. Her sister notified CSC that she passed away due to complications from Alzheimer's.	CSC to follow up and request a copy of the death certificate. This case will be forwarded to the Mortality Review Committee for record review.	
Age: 57 Inc. Date: 1/3/21	Consumer lived in an ICF/DD. She tested positive for COVID-19 virus. She had been in the hospital for treatment. She passed away. Details of her passing were not provided.	CSC to follow up and request a copy of the death certificate. This case will be forwarded to the Mortality Review Committee for record review.	
Age: 57 Inc. Date: 1/15/21	Consumer lived in a CCF. She was in a Skilled Nursing facility. She had been in poor health for the past year, in and out of the hospital. Her sister-in-law reported that she went to sleep and did not wake up. She passed away.	CSC to follow up and request a copy of the death certificate. This case will be forwarded to the Mortality Review Committee for record review.	
Age: 61 Inc. Date: 1/5/21	Consumer lived with family. He tested positive for COVID-19 virus. His symptoms were severe, and he was having a hard time getting up from bed. He was hospitalized, and passed away while in the hospital.	CSC to follow up and request a copy of the death certificate. This case will be forwarded to the Mortality Review Committee for record review.	
Age: 61 Inc. Date: 1/8/21	Consumer lived in a CCF. He had a seizure with full body convulsions. He began to continuously vomit. 911 was called. He was having difficulty breathing, and lost consciousness. CPR was unsuccessful. He was pronounced deceased by the paramedic officer.	CSC to follow up and request a copy of the death certificate. This case will be forwarded to the Mortality Review Committee for record review. Community Care Licensing, Law Enforcement, and NLACRC Community Services were notified of this incident.	

	Description	Action	Final Disposition
Age: 62 Inc. Date: 1/24/21	Consumer received Independent Living services. He had been in the hospital due to heart failure and low kidney function. He was moved into hospice care. He passed away.	CSC to follow up and request a copy of the death certificate. This case will be forwarded to the Mortality Review Committee for record review.	
Age: 62 Inc. Date: 1/27/21	Consumer lived in a CCF. She had been in Sub-Acute rehabilitation for complications with gastro-tube. She was taken to the ER for blood noted in her tracheostomy, and passed away the next day.	CSC to follow up and request a copy of the death certificate. This case will be forwarded to the Mortality Review Committee for record review. Community Care Licensing and Department of Health Services were notified of this incident.	
Age: 64 Inc. Date: 1/29/21	Consumer lived in a CCF(RCFE). He had tested positive for COVID-19 virus. He was in the hospital, and passed away.	CSC to follow up and request a copy of the death certificate. This case will be forwarded to the Mortality Review Committee for record review. Department of Health Services was notified of this incident.	
Age: 66 Inc. Date: 1/3/21	Consumer received Supported Living services. He tested positive for COVID-19 virus. He passed away in the hospital due to cardiac arrest, pneumonia, renal and respiratory failure.	CSC to follow up and request a copy of the death certificate. This case will be forwarded to the Mortality Review Committee for record review.	
Age: 66 Inc. Date: 1/8/21	Consumer lived with family. He tested positive for COVID-19 virus. He passed away while in the hospital.	CSC to follow up and request a copy of the death certificate. This case will be forwarded to the Mortality Review Committee for record review. Community Care Licensing was notified of this incident.	
Age: 66 Inc. Date: 1/9/21	Consumer lived in a CCF. Nurse found her pale and unresponsive, without pulse or vital signs. She passed away.	CSC to follow up and request a copy of the death certificate. This case will be forwarded to the Mortality Review Committee for record review. Community Care Licensing, Department of Health Services, and NLACRC Community Services were notified of this incident.	

	Description	Action	Final Disposition
Age: 67 Inc. Date: 1/16/21	Consumer lived in a CCF. He tested positive for COVID-19 virus. He was in the hospital for treatment of enlarged prostate, being unable to urinate. He had low oxygen levels and he was placed on a respirator. He passed away.	CSC to follow up and request a copy of the death certificate. This case will be forwarded to the Mortality Review Committee for record review. Community Care Licensing was notified of this incident.	
Age: 67 Inc. Date: 1/19/21	Consumer received Supported Living services. He was in the hospital for diabetic treatment. His health had been declining for some time. He passed away while in the hospital. The cause of death was listed as pneumonia.	CSC to follow up and request a copy of the death certificate. This case will be forwarded to the Mortality Review Committee for record review.	
Age: 68 Inc. Date: 1/10/21	Consumer lived in an ICF/DD. He tested positive for COVID-19 virus. He was hospitalized due to low oxygen saturation. He passed away while in the hospital.	CSC to follow up and request a copy of the death certificate. This case will be forwarded to the Mortality Review Committee for record review.	
Age: 69 Inc. Date: 1/1/21	Consumer lived in a Sub-Acute facility. She had been in declining health. She passed away of natural causes at her residence.	CSC to follow up and request a copy of the death certificate. This case will be forwarded to the Mortality Review Committee for record review.	
Age: 69 Inc. Date: 1/3/21	Consumer lived in an ICF/DD-N. CSS reported that she passed away at West Hills Health and Rehab Center. The cause of death was not provided.	CSC to follow up and request a copy of the death certificate. This case will be forwarded to the Mortality Review Committee for record review. Coroner was notified of this incident.	
Age: 69 Inc. Date: 1/26/21	Consumer lived in an Acute General hospital. His doctor reported that he passed away due to hypovolemic shock secondary to trachial bleed (uncontrollable bleed from trach).	CSC to follow up and request a copy of the death certificate. This case will be forwarded to the Mortality Review Committee for record review.	
Age: 73 Inc. Date: 1/10/21	Consumer lived in an Acute General hospital. CSC reported that he passed away due to complications from COVID-19 virus.	CSC to follow up and request a copy of the death certificate. This case will be forwarded to the Mortality Review Committee for record review.	

	Description	Action	Final Disposition
		review.	
Age: 80 Inc. Date: 1/9/21	Consumer lived in a Skilled Nursing facility. He tested positive for COVID-19 virus. He had been in the hospital, but returned home for hospice care. He passed away.	CSC to follow up and request a copy of the death certificate. This case will be forwarded to the Mortality Review Committee for record review. Coroner was notified of this incident.	
Age: 85 Inc. Date: 1/10/21	Consumer lived in a Senior Living facility. She was cold and had low oxygen saturation. 911 was called. She was taken to the hospital. She tested positive for COVID-19 virus. She passed away.	CSC to follow up and request a copy of the death certificate. This case will be forwarded to the Mortality Review Committee for record review. Community Care Licensing was notified of this incident.	

	Description	Action	Final Disposition
Other Incidents Children			
Age: 16 Inc. Date: 1/7/21	Consumer resides in a Certified Foster home. There was a delay in refilling his medication which caused him to miss a few doses.	CSC to follow up. NLACRC Community Services and Nurse Consultant were notified of this incident.	

	Description	Action	Final Disposition
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	Description	Action	Final Disposition
Other Incidents Adults			
Age: 21 Inc. Date: 1/23/21	Consumer resides in a Skilled Nursing facility. He was pale and weak, refusing to eat or drink. He was taken to the ER, and admitted to the hospital for treatment of dehydration and high lithium levels.	CSC to follow up. Community Care Licensing and NLACRC Nurse Consultant were notified of this incident.	
Age: 22 Inc. Date: 1/24/21	Consumer resides in a CCF. She was acting aggressive in her room, screaming and throwing furniture. She became physically aggressive with staff. Administrator did a temporary manual hold until she calmed down.	CSC to follow up. Community Care Licensing and NLACRC Community Services were notified of this incident.	
Age: 22 Inc. Date: 1/27/21	Consumer resides in a CCF. She reported that she engaged in consented inappropriate sexual acts with another resident. Then she said that he raped her. She became verbally and physically aggressive with staff. Police were called. She was taken to the hospital for a psychiatric hold.	CSC to follow up. Community Care Licensing, Long Term Care Ombudsman, Law Enforcement, Adult Protective Services, NLACRC Community Services and Psychiatry Consultant were notified of this incident.	
Age: 24 Inc. Date: 1/3/21	Consumer resides in a CCF. He spent the holiday at his family's home. He was not given his bedtime medication while he was away. He was only given his morning medications.	CSC to follow up. Community Care Licensing, NLACRC Community Services and Nurse Consultant were notified of this incident.	
Age: 24 Inc. Date: 1/14/21	Consumer resides in an ICF/DD-H. An employee reported that there were concerns of verbal mistreatment by a staff member.	CSC to follow up. Department of Health Services and NLACRC Community Services were notified of this incident.	
Age: 27 Inc. Date: 1/5/21	Consumer resides in a CCF. He was being physically aggressive with other consumers. Designated staff member did not supervise or redirect. A replacement staff member was requested.	CSC to follow up. Community Care Licensing and NLACRC Community Services were notified of this incident.	

	Description	Action	Final Disposition
Age: 28 Inc. Date: 1/6/21	Consumer resides in a CCF. She was having difficulty breathing. She was taken to the ER, and admitted to the hospital for evaluation and treatment.	CSC to follow up. Community Care Licensing, NLACRC Community Services and Nurse Consultant were notified of this incident.	
Age: 30 Inc. Date: 1/4/21	Consumer resides in a CCF. He became verbally aggressive toward another client in the facility. He was taken to the hospital, and admitted for a psychiatric hold.	CSC to follow up. Community Care Licensing, NLACRC Community Services and Psychiatry Consultant were notified of this incident.	
Age: 30 Inc. Date: 1/20/21	Consumer receives Supported Living services. The staff got distracted and lost track of time. This caused him to miss his noon medications.	CSC to follow up. NLACRC Nurse Consultant was notified of this incident.	
Age: 31 Inc. Date: 1/1/21	Consumer receives Supported Living services. She had tested positive for COVID-19 virus. She was breathing fast, and fell to the floor. Staff called 911. Paramedics found her to have a low oxygen level. She was taken to the ER, and admitted to the hospital for treatment of pneumonia.	CSC to follow up. NLACRC Nurse Consultant was notified of this incident.	
Age: 32 Inc. Date: 1/3/21	Consumer resides in a CCF. He had tested positive for COVID-19 virus. He developed a fever, cough and loss of appetite. He was taken to the ER, and admitted to the hospital for evaluation and treatment.	CSC to follow up. NLACRC Community Services and Nurse Consultant were notified of this incident.	
Age: 32 Inc. Date: 1/7/21	Consumer resides in a CCF. She did not receive her nighttime medication due to health insurance not approving payment for the medication. Her doctor is working with the pharmacy to get her the medication.	CSC to follow up. Community Care Licensing, NLACRC Community Services and Nurse Consultant were notified of this incident.	
Age: 32 Inc. Date: 1/22/21	Consumer receives Independent Living services. Staff gave the wrong dose of a medication. A slightly higher dose of the medication was given.	CSC to follow up. NLACRC Nurse Consultant was notified of this incident.	

	Description	Action	Final Disposition
Age: 35 Inc. Date: 1/23/21	Consumer resides in an ICF/DD-H. He was depressed and self-isolating. He expressed wanting to kill himself. He was taken to the hospital, and admitted for a psychiatric hold.	CSC to follow up. Department of Health Services, NLACRC Community Services and Psychiatry Consultant were notified of this incident.	
Age: 36 Inc. Date: 1/12/21	Consumer receives Supported Living services. Staff noticed that there was a morning medication missing from his medication box.	CSC to follow up. NLACRC Nurse Consultant was notified of this incident.	
Age: 36 Inc. Date: 1/23/21	Consumer receives Supported Living services. Staff gave him evening medications instead of morning medications, causing him to miss his morning medications.	CSC to follow up. NLACRC Nurse Consultant was notified of this incident.	
Age: 37 Inc. Date: 1/11/21	Consumer receives Independent Living services. He sent money to someone claiming to be a real estate agent for a deposit on a rental property. He realized that the agent was fraudulent, and notified the bank to file a police report.	CSC to follow up. Adult Protective Services and Law Enforcement were notified of this incident.	
Age: 37 Inc. Date: 1/25/21	Consumer receives Supported Living services. He went to his parents' home for a visit. He refused to go home and became physically aggressive. He refused medication and would not calm down. 911 was called. He was taken to the hospital for a psychiatric hold.	CSC to follow up. NLACRC Psychiatry Consultant was notified of this incident.	
Age: 39 Inc. Date: 1/12/21	Consumer resides in a CCF. He had low oxygen saturation. 911 was called. Paramedics took him to the hospital. He was admitted for treatment of aspiration pneumonia.	CSC to follow up. NLACRC Community Services and Nurse Consultant were notified of this incident.	
Age: 39 Inc. Date: 1/20/21	Consumer resides in a CCF. Her pulse was elevated and her oxygen saturation was low. Staff called 911. Paramedics took her to the ER. She was admitted to the hospital for treatment of hypoxia.	CSC to follow up. Community Care Licensing, NLACRC Community Services and Nurse Consultant were notified of this incident.	

	Description	Action	Final Disposition
Age: 39 Inc. Date: 1/26/21	Consumer made a claim that his group home manager struck him twice. He has requested to be moved to a different home.	CSC to follow up.	
Age: 41 Inc. Date: 1/7/21	Consumer receives Supported Living services. It was reported that a neighbor yelled at her support staff for neglect, and that the neighbor would be reporting the staff to police.	CSC to follow up. Law Enforcement was notified of this incident.	
Age: 42 Inc. Date: 1/5/21	Consumer resides in an ICF/DD-H. He tripped getting out of the shower and fell on his arm. He was taken to the ER for an x-ray, and diagnosed with a hairline fracture. He was given a sling for the arm.	CSC to follow up. Department of Health Services, NLACRC Community Services and Nurse Consultant were notified of this incident.	
Age: 42 Inc. Date: 1/16/21	Consumer lives with family. He became angry with staff and left the facility through his bedroom window. Staff contacted police. His sister called to report where he was. Administrator picked him up and brought him back.	CSC to follow up. Community Care Licensing and Law Enforcement were notified of this incident.	
Age: 43 Inc. Date: 1/23/21	Consumer receives Supported Living services. He missed his nighttime medications due to a miscommunication during shift change.	CSC to follow up. NLACRC Nurse Consultant was notified of this incident.	
Age: 44 Inc. Date: 1/9/21	Consumer resides in a CCF. He was feeling light-headed. 911 was called. He was taken to the hospital, and admitted for treatment of pneumonia.	CSC to follow up. NLACRC Community Services and Nurse Consultant were notified of this incident.	
Age: 44 Inc. Date: 1/29/21	Consumer resides in a CCF. He complained of difficulty breathing and chest pain. 911 was called. He was taken to the hospital, and admitted for evaluation and treatment.	CSC to follow up. Community Care Licensing, NLACRC Community Services and Nurse Consultant were notified of this incident.	
Age: 45 Inc. Date: 1/13/21	Consumer resides in a CCF. He woke up with shortness of breath, wheezing, and low oxygen levels. 911 was called. He	CSC to follow up. Community Care Licensing, NLACRC Community Services and Nurse Consultant were	

	Description	Action	Final Disposition
	was taken to the ER, and admitted to the hospital for evaluation and treatment.	notified of this incident.	
Age: 48 Inc. Date: 1/12/21	Consumer resides in a CCF. She tested positive for COVID-19 virus. She was taken to the hospital, and admitted for treatment. She was placed on a ventilator.	CSC to follow up. Community Care Licensing, NLACRC Community Services and Nurse Consultant were notified of this incident.	
Age: 50 Inc. Date: 1/28/21	Consumer resides in a CCF. She was coughing and breathing heavy. She was taken to the ER, and admitted to the hospital for high cardiac markers. She was diagnosed with heart failure. She was intubated and moved to the ICU.	CSC to follow up. Community Care Licensing, NLACRC Community Services and Nurse Consultant were notified of this incident.	
Age: 51 Inc. Date: 1/29/21	Consumer resides in a CCF(RCFE). She tested positive for COVID-19 virus. She was showing symptoms. She was taken to the hospital, and admitted for treatment. She was also diagnosed with a shoulder dislocation.	CSC to follow up. Department of Health Services and NLACRC Nurse Consultant were notified of this incident.	
Age: 52 Inc. Date: 1/19/21	Consumer resides in a CCF. She was weak, and unable to use her walker. She was taken to urgent care. She was sent to the hospital, and admitted for treatment of pneumonia and rhabdomyolysis.	CSC to follow up. Community Care Licensing, NLACRC Community Services and Nurse Consultant were notified of this incident.	
Age: 53 Inc. Date: 1/2/21	Consumer resides in an ICF/DD-H. She got tangled up in her blankets and fell on the floor. She complained that her leg would not stop shaking. Staff took her to the hospital. She was diagnosed with a fractured hip.	CSC to follow up. Department of Health Services, NLACRC Community Services and Nurse Consultant were notified of this incident.	
Age: 55 Inc. Date: 1/14/21	Consumer lives with family. She lost her balance and fell backward, hitting her head on the wall. She had a four inch incision. She was taken to the ER, and received staples to close the wound.	CSC to follow up. NLACRC Nurse Consultant was notified of this incident.	

	Description	Action	Final Disposition
Age: 55 Inc. Date: 1/18/21	Consumer received Supported Living services. He had a cough, and cold symptoms. He was taken to the ER. A chest x-ray showed slight pneumonia. He was admitted to the hospital for treatment.	CSC to follow up. NLACRC Nurse Consultant was notified of this incident.	
Age: 57 Inc. Date: 1/7/21	Consumer resides in a CCF. His oxygen saturation was low. Nurse called 911. He was taken to the hospital, and admitted for treatment of pneumonia.	CSC to follow up. NLACRC Community Services and Nurse Consultant were notified of this incident.	
Age: 57 Inc. Date: 1/13/21	Consumer resides in a CCF. She came out of her room with blood on her head. She told staff that she was hurrying to get up and fell out of bed, hitting her head. She had a cut on the side of her head. She was taken to the ER, and received six staples to close the wound.	CSC to follow up. Community Care Licensing, NLACRC Community Services and Nurse Consultant were notified of this incident.	
Age: 59 Inc. Date: 1/1/21	Consumer resides in an ICF/DD. He was experiencing shortness of breath. Paramedics were called. He was taken to the ER, and admitted to the hospital for evaluation and treatment.	CSC to follow up. NLACRC Nurse Consultant was notified of this incident.	
Age: 59 Inc. Date: 1/12/21	Consumer receives Supported Living services. A staff member reported that his worker spilled some of his morning medications on the floor, which were being administered via g-tube.	CSC to follow up. NLACRC Nurse Consultant was notified of this incident.	
Age: 59 Inc. Date: 1/29/21	Consumer receives Supported Living services. He expressed having suicidal thoughts, and being a danger to himself and others. He was taken to the hospital, and admitted for a psychiatric hold.	CSC to follow up. NLACRC Psychiatry Consultant was notified of this incident.	
Age: 59 Inc. Date: 1/30/21	Consumer resides in a CCF. A housemate was having a behavior episode, and bit him on the arm. He was taken to the hospital, and treated with a tetanus	CSC to follow up. Community Care Licensing, NLACRC Community Services and Nurse Consultant were notified of this incident.	

	Description	Action	Final Disposition
	shot, antibiotics and anti-bacterial ointment.		
Age: 61 Inc. Date: 1/19/21	Consumer resides in an ICF/DD-H. Her oxygen level was low. Staff called 911. She was taken to the hospital. She tested positive for COVID-19 virus. She was admitted to the hospital for treatment.	CSC to follow up. Department of Health Services, NLACRC Community Services and Nurse Consultant were notified of this incident.	
Age: 62 Inc. Date: 1/29/21	Consumer resides in an ICF/DD-N. He complained of tenderness in his arm. An x-ray showed a fracture in the humerus with modest displacement. The injury appeared to be old and moderately healed.	CSC to follow up. Department of Health Services, NLACRC Community Services and Nurse Consultant were notified of this incident.	
Age: 63 Inc. Date: 1/7/21	Consumer receives Independent Living services. Her leg was red and warm to the touch. 911 was called. She was taken to the hospital, and admitted for treatment of cellulitis.	CSC to follow up. NLACRC Nurse Consultant was notified of this incident.	
Age: 64 Inc. Date: 1/12/21	Consumer resides in an ICF/DD. She was experiencing tachycardia. Nurse called 911. She was taken to the hospital, and admitted for treatment of dehydration and tachypnea.	CSC to follow up. NLACRC Nurse Consultant was notified of this incident.	
Age: 64 Inc. Date: 1/12/21	Consumer receives Independent Living services. She had tested positive for COVID-19 virus. Due to low oxygen levels, she was taken to the ER. She was admitted to the hospital for treatment.	CSC to follow up. NLACRC Nurse Consultant was notified of this incident.	
Age: 64 Inc. Date: 1/14/21	Consumer resides in a CCF(RCFE). He tested positive for COVID-19 virus. He was taken to the hospital, and admitted for treatment.	CSC to follow up. Department of Health Services and NLACRC Nurse Consultant were notified of this incident.	
Age: 64 Inc. Date: 1/17/21	Consumer receives Independent Living services. He hit his head while getting into a car. He had a cut on the back of his head. He was taken to urgent care,	CSC to follow up. NLACRC Nurse Consultant was notified of this incident.	

	Description	Action	Final Disposition
	and received four staples to close the wound.		
Age: 64 Inc. Date: 1/22/21	Consumer resides in an ICF/DD-H. He tested positive for COVID-19 virus. His oxygen levels dropped. Staff called 911. He was taken to the ER, and admitted to the hospital for treatment.	CSC to follow up. NLACRC Community Services and Nurse Consultant were notified of this incident.	
Age: 65 Inc. Date: 1/1/21	Consumer resides in a CCF. Her oxygen saturation was low and continued to decrease. Staff called 911. She was taken to the hospital, and admitted for evaluation and treatment. She tested positive for COVID-19 virus.	CSC to follow up. NLACRC Community Services and Nurse Consultant were notified of this incident.	
Age: 65 Inc. Date: 1/4/21	Consumer resides in an ICF/DD-N. She was slumped over in her wheelchair, and not responsive to verbal or tactile stimulation. Her oxygen level was low. 911 was called. She was taken to the ER, and admitted to the hospital for treatment of hypernatremia.	CSC to follow up. NLACRC Community Services and Nurse Consultant were notified of this incident.	
Age: 65 Inc. Date: 1/28/21	Consumer receives Supported Living services. He was having difficulty breathing. He was taken to the hospital, and admitted for treatment of respiratory issues. He tested positive for COVID-19 virus.	CSC to follow up. Adult Protective Services and NLACRC Nurse Consultant were notified of this incident.	
Age: 67 Inc. Date: 1/16/21	Consumer receives Supported Living services. His blood sugar level was very low. 911 was called. Paramedics gave him liquid that caused his blood sugar level to go very high. He was in and out of consciousness. 911 was called again. He was admitted to the hospital ICU for diabetic treatment.	CSC to follow up. NLACRC Nurse Consultant was notified of this incident.	
Age: 67 Inc. Date: 1/29/21	Consumer resides in a CCF(RCFE). He tested positive for COVID-19 virus. He was taken to the hospital, and admitted	CSC to follow up. Department of Health Services and NLACRC Nurse Consultant were notified of this	

	Description	Action	Final Disposition
	for treatment.	incident.	
Age: 69 Inc. Date: 1/15/21	Consumer receives Supported Living services. While taking a walk with staff, she lost her balance and fell. She was taken to urgent care, and transferred to the ER for blood work. She was admitted to the hospital for a diabetic treatment.	CSC to follow up. NLACRC Nurse Consultant was notified of this incident.	
Age: 69 Inc. Date: 1/27/21	Consumer resides in an ICF/DD-H. One topical medication was not given as prescribed, and another one was not administered or signed for.	CSC to follow up. NLACRC Community Services and Nurse Consultant were notified of this incident.	
Age: 70 Inc. Date: 1/25/21	Consumer resides in an ICF/DD-H. During an audit, it was discovered that a medication had been signed for as given, but had not been given.	CSC to follow up. NLACRC Community Services and Nurse Consultant were notified of this incident.	
Age: 70 Inc. Date: 1/25/21	Consumer resides in a CCF. She fell while walking. She complained of pain in her arm. She was taken to urgent care, and diagnosed with a shoulder dislocation. A splint was placed on the shoulder after relocation of the arm.	CSC to follow up. Community Care Licensing, NLACRC Community Services and Nurse Consultant were notified of this incident.	
Age: 76 Inc. Date: 1/14/21	Consumer resides in a CCF. He tested positive for COVID-19 virus. He had severe weakness, and refused to drink or take medication. 911 was called. Paramedics took him to the hospital. He was admitted for treatment of sepsis.	CSC to follow up. Community Care Licensing, NLACRC Community Services and Nurse Consultant were notified of this incident.	
Age: 77 Inc. Date: 1/26/21	Consumer resides in an ICF/DD-H. She was weak and had a droopy face. Her blood pressure was high and her temperature was low. Ambulance took her to the ER. She was admitted to the hospital for treatment of dehydration.	CSC to follow up. Department of Health Services, NLACRC Community Services and Nurse Consultant were notified of this incident.	
Age: 87 Inc. Date: 1/22/21	Consumer receives Supported Living services. Staff tried to refill her medication, but pharmacy told staff that she would need to see her doctor before	CSC to follow up. NLACRC Nurse Consultant was notified of this incident.	

	Description	Action	Final Disposition
	it could be refilled. She had not had the medication for the past two weeks.		

**NORTH LOS ANGELES COUNTY REGIONAL CENTER
FINANCIAL REPORT-MONTHLY RECAP
FISCAL YEAR 2020-2021
December 2020**

BUDGET CATEGORY	Actual Annual B-1 Budget	Month Exp	Y-T-D Expenditures	Projected Annual Expenditures	Projected Annual Surplus/(Deficit)	Percent Under(Over) Budget
Operations						
Salaries & Benefits	\$46,141,086	\$3,325,303	\$20,466,175	\$46,141,086	\$0	0.00%
Operating Expenses	\$12,129,116	\$792,626	\$4,620,486	\$12,129,116	\$0	0.00%
Subtotal OPS General	\$58,270,202	\$4,117,928	\$25,086,661	\$58,270,202	\$0	0.00%
Salaries & Benefits - CPP Regular	\$218,338	\$38,094	\$231,136	\$218,338	\$0	0.00%
Operating Expenses - CPP Regular	\$98,616	\$0	\$0	\$98,616	\$0	0.00%
Subtotal OPS CPP Regular	\$316,954	\$38,094	\$231,136	\$316,954	\$0	0.00%
Salaries & Benefits - DC Closure/Ongoing Workload	\$122,444	\$20,571	\$121,945	\$122,444	\$0	0.00%
Operating Expenses - DC Closure/Ongoing Workload	\$11,900	\$0	\$0	\$11,900	\$0	0.00%
Subtotal OPS DC Closure/Ongoing Workload	\$134,344	\$20,571	\$121,945	\$134,344	\$0	0.00%
Family Resource Center	\$207,187	\$0	\$0	\$207,187	\$0	0.00%
Self Determination Program Participant Supports	\$149,328	\$0	\$0	\$149,328	\$0	0.00%
Subtotal OPS Projects	\$356,515	\$0	\$0	\$356,515	\$0	0.00%
Total Operations:	\$59,078,015	\$4,176,594	\$25,439,742	\$59,078,015	\$0	0.00%
Purchase of Services						
POS (General)	\$562,396,216	\$44,253,630	\$257,258,542	\$566,295,684	(\$3,899,468)	-0.69%
CPP Regular and DC Closure/Ongoing Workload	\$194,786	-\$319	\$596	\$194,786	\$0	0.00%
Total Purchase of Services:	\$562,591,002	\$44,253,311	\$257,259,138	\$566,490,470	(\$3,899,468)	-0.69%
Total NLACRC Budget:	\$621,669,017	\$48,429,905	\$282,698,879	\$625,568,485	(\$3,899,468)	-0.63%

Note A: B-2 Amendment will include additional Rent Allocation, CPP-OPS Funding, and CPP-POS Funding

Note B: POS-CPP Projected Annual Expenditures will be adjusted with the POS Expenditure Projection ("PEP") report due to DDS on February 10, 2021

NORTH LOS ANGELES COUNTY REGIONAL CENTER
FISCAL YEAR 2020-2021
December 2020

TOTAL BUDGET SOURCES	
Prelim from DDS for OPS	\$45,721,951
B-1 from DDS for OPS, Projects, and CRDP/CPP	\$12,858,198
B-2 from DDS for OPS, Projects, and CRDP/CPP	
B-3 from DDS for OPS, Projects, and CRDP/CPP	
Prelim from DDS for POS	\$406,650,667
B-1 from DDS for POS and POS-CRDP/CPP	\$146,600,305
B-2 from DDS for POS-CRDP/CPP	
B-3 from DDS for POS-CRDP/CPP	
Subtotal - Total Budget received from DDS	\$611,831,121
Projected Revenue	\$497,866
Subtotal - Projected Revenue Operations	\$497,866
Projected ICF/SPA Transportation/Day Program Revenue	\$9,340,030
Subtotal - Projected Revenue Purchase of Services	\$9,340,030
Total Budget	\$621,669,017

OPERATIONS BUDGET SOURCES	
GENERAL OPERATIONS (Excludes Projects, CPP Regular, CRDP/CPP)	
Preliminary, General Operations (OPS)	45,721,951
B-1, OPS Allocation	12,050,385
B-2, OPS Allocation	
B-3, OPS Allocation	
Total General OPS	57,772,336
Projected Interest Income	\$338,441
Projected Other Income	\$24,425
Projected ICF/SPA Admin Fee	\$135,000
Total Other Revenue	497,866
TOTAL GENERAL OPS	58,270,202
CRDP/CPP Regular	
Preliminary, Community Resource Development Plan ("CRDP") /Community Placement Plan ("CPP")	\$0
B-1, OPS CRDP/CPP	\$316,954
B-2, OPS CRDP/CPP	\$0
Total CRDP/CPP Regular	\$316,954
CPP DC Closure/Ongoing Workload	
Preliminary, Developmental Center ("DC") Closure/Ongoing Workload	\$0
B-1, OPS DC Closure/Ongoing Workload	\$134,344
B-2, OPS DC Closure/Ongoing Workload	\$0
Total CPP DC Closure/Ongoing Workload	\$134,344
OPS PROJECTS	
Family Resource Center ("FRC")	\$207,187
Self Determination Program ("SDP") Participant Supports	\$149,328
Total OPS PROJECTS	\$356,515
Total Operations Budget	\$59,078,015

PURCHASE OF SERVICES (POS) BUDGET SOURCES	
General POS (Excludes CPP-POS Regular, CRDP/CPP)	
Preliminary, POS	\$406,650,667
B-1, POS Allocation	\$146,405,519
B-2, POS Allocation	\$0
B-3, POS Allocation	\$0
Total General POS Allocation	\$553,056,186
ADD:	
Projected ICF SPA Revenue	\$9,340,030
Total Budget, General POS	\$562,396,216

**NORTH LOS ANGELES COUNTY REGIONAL CENTER
CONSOLIDATED LINE ITEM REPORT
FISCAL YEAR 2020-2021
December 2020**

	Actual Annual B-1 Budget	Net Month	Expended Y-T-D	Projected Remaining Expenses	Proj Annual Expenses	Projected Surplus/ (Deficit)
PURCHASE OF SERVICE						
POS (General)						
3.2 Out of Home	95,682,485	7,595,627	45,248,761	51,097,154	96,345,915	(663,430)
4.3 Day Programs	85,337,989	5,833,999	35,274,745	50,654,949	85,929,694	(591,705)
4.3 Habilitation Programs	4,659,501	313,390	1,630,036	3,061,773	4,691,809	(32,308)
5.4 Transportation	20,304,338	1,501,498	8,313,743	12,131,378	20,445,121	(140,783)
6.5 Other Services	356,411,903	29,009,116	166,791,256	192,091,889	358,883,145	(2,471,242)
Total POS (General):	562,396,216	44,253,630	257,258,542	309,037,142	566,295,684	(3,899,468)
CRDP & CPP						
CRDP & CPP Placements	194,786	(319)	596	194,190	194,786	0
CRDP & CPP Assessments	0	0	0	0	0	0
CRDP & CPP Start Up	0	0	0	0	0	0
Deflection CRDP & CPP	0	0	0	0	0	0
Total CRDP & CPP:	194,786	(319)	596	194,190	194,786	0
HCBS Compliance Funding	0	0	0	0	0	0
Total HCBS:	0	0	0	0	0	0
Total Purchase of Service:	562,591,002	44,253,311	257,259,138	309,231,332	566,490,470	(3,899,468)
OPERATIONS						
25010 Salaries/Benefits	43,366,321	3,133,321	19,321,225	24,045,096	43,366,321	0
25010 ABX2-1	3,115,547	250,647	1,498,030	1,617,517	3,115,547	0
Total Salaries/Benefits:	46,481,868	3,383,968	20,819,255	25,662,613	46,481,868	0
OPERATING EXPENSE						
30010 Equipment Rental	382,522	19,328	67,260	315,262	382,522	0
30020 Equipment Maint	88,283	62,828	97,756	(9,473)	88,283	0
30030 Facility Rent	4,708,470	307,351	2,142,280	2,566,190	4,708,470	0
30040 Facility.Maint. AV	72,019	1,386	36,738	35,281	72,019	0
30041 Facility Maint. SFV	135,917	18,128	58,548	77,369	135,917	0
30042 Facility Maint. SCV	53,053	1,640	7,766	45,287	53,053	0
30050 Communication	503,897	96,288	236,607	267,291	503,897	0
30060 General Office Exp	289,052	8,651	67,469	221,583	289,052	0
30070 Printing	32,814	6,482	18,414	14,400	32,814	0
30080 Insurance	387,990	23,418	399,770	(11,780)	387,990	0
30090 Utilities	78,159	19,386	59,124	19,035	78,159	0
30100 Data Processing	140,400	23,576	57,326	83,074	140,400	0
30110 Data Proc. Maint	213,500	65,152	125,133	88,367	213,500	0
30120 Interest Expense	128,297	2,312	9,736	118,561	128,297	0
30130 Bank Fees	171,752	0	42,541	129,211	171,752	0
30140 Legal Fees	748,000	37,541	125,447	622,553	748,000	0
30150 Board of Trustees Exp	101,500	0	4,650	96,850	101,500	0
30151 ARCA Dues	109,598	0	0	109,598	109,598	0
30160 Accounting Fees	87,531	0	0	87,531	87,531	0
30170 Equipment Purchases	1,529,461	9,380	506,194	1,023,267	1,529,461	0
30180 Contr/Consult-Adm	1,108,297	78,888	371,338	736,959	1,108,297	0
30220 Mileage/Travel	337,400	2,893	13,046	324,354	337,400	0
30240 General Expenses	690,316	7,998	146,038	544,278	690,316	0
30240 ABX2-1	141,404	0	27,305	114,099	141,404	0
Total Operating Expenses:	12,239,632	792,626	4,620,486	7,619,146	12,239,632	0
Total Operations:	58,721,500	4,176,594	25,439,742	33,281,758	58,721,500	0
Total Gross Budget :	621,312,502	48,429,905	282,698,879	342,513,091	625,211,970	(3,899,468)
OPS Projects:	356,515	0	0	356,515	356,515	0
Total Gross Budget with Projects:	621,669,017	48,429,905	282,698,879	342,869,606	625,568,485	(3,899,468)

NORTH LOS ANGELES COUNTY REGIONAL CENTER
Operations ("OPS") Project Line Item Report
FISCAL YEAR 2020-2021
December 2020

	Actual Annual B-1 Budget	EXPENDED MONTH	EXPENDED Y-T-D	BALANCE REMAINING	PROJECTED EXPENDITURES	SURPLUS/ (DEFICIT)
Family Resource Center ("FRC")	\$207,187	\$0	\$0	\$207,187	\$207,187	\$0
Self Determination Program ("SDP") Participant Support	\$149,328	\$0	\$0	\$149,328	\$149,328	\$0
TOTAL:	\$356,515	\$0	\$0	\$356,515	\$356,515	\$0

Family Resource Center: Family Resource Center provides services and support for families and infants and toddlers, under the age of three years, that have a developmental delay, disability, or condition that places them at risk of a disability. Services include, as specified in Government Code 95024(d)(2), parent-to-parent support, information dissemination, public awareness, and family-professional collaboration activities; and per Government Code 95001(a)94), family-to-family support to strengthen families' ability to participate in service planning.

Self Determination Program Participant Support: The SDP allows for regional center consumers and their families more freedom, control, and responsibility in choosing services, supports, and providers to help meet the objectives in their individual program plans. The SDP Participant Support is for regional centers, in collaboration with the local volunteer advisory committees, to assist selected participants in their transition to SDP.

**Post Retirement Medical Trust (“PRMT”)
Board Report for February 10, 2021**

Presented by Lety Garcia, Board President

- On **January 27, 2021**, the PRMT report, dated **January 21, 2021**, was presented by portfolio manager, Anne Wimmer, CFA, of HighMark Capital Management, a subsidiary of Union Bank, N.A.
- The market value of the assets in the Trust was **\$30,614,199** as of **January 21, 2020**.
- For the period ending **January 21, 2021**, the market value of the portfolio **increased** by **\$2,781,063** (\$30,614,199 less \$27,833,136) since the last report dated **October 23, 2020**.
- The **increase** in market value of **\$2,781,063** was due to gains in investments and a deposit for the fees charged during the prior quarter.
- The average annualized rate of return (gross of fees) since inception to 12/31/2020 is **6.50 percent**.
- The rate of return (net of fees) for the past one year is **10.16 percent**.

**CalPERS Unfunded Actuarial Liability Trust (“UAL”)
Board Report for February 10, 2021**

Presented by Lety Garcia, Board President

- On **January 27, 2021**, the CalPERS UAL Trust report, dated **January 21, 2021**, was presented by portfolio manager, Anne Wimmer, CFA, of HighMark Capital Management, a subsidiary of Union Bank, N.A.
- The market value of the assets in the Trust was **\$3,700,932** as of **January 21, 2021**.
- For the period ending **January 21, 2021**, the market value of the portfolio **increased** by **\$212,401** (\$3,700,932 less \$3,488,531) since the last report dated **October 23, 2020**.
- The **increase** in market value of **\$212,401** was due to gains in investments. A deposit intended for the fees charged during the prior quarter was inadvertently deposited into the PRMT and USBank will make an adjustment to resolve.
- The average annualized rate of return (gross of fees) since inception to 12/31/2020 is **9.43 percent**.
- The rate of return (net of fees) since inception to 12/31/2020 is **9.30 percent**.

North Los Angeles County Regional Center
 Board and Committee Meeting Time(s) Report
 Fiscal Year 2019-2020

(Rounded to the nearest quarter of an hour.)

Committee	Jan-20				Feb-20				Mar-20			
	Start	End	Total Time	Rounded	Start	End	Total Time	Rounded	Start	End	Total Time	Rounded
Ad Hoc Bylaws												
Administrative Affairs	6:00 PM	7:30 PM	01:30	1.50	6:00 PM	8:10 PM	02:10	2.25	6:15 PM	7:16 PM	01:01	1.00
Board Meeting	6:44 PM	8:30 PM	01:46	1.75	6:37 PM	9:39 PM	03:02	3.00	6:30 PM	9:45 PM	03:15	3.25
Consumer Services	6:05 PM	7:07 PM	01:02	1.00	6:08 PM	7:49 PM	01:41	1.75	6:08 PM	7:05 PM	00:57	1.00
Executive	7:33 PM	8:21 PM	00:48	1.00	8:08 PM	9:00 PM	00:52	1.00	7:17 PM	8:21 PM	01:04	1.00
Government and Community Relations	7:17 PM	9:00 PM	01:43	1.75	8:02 PM	9:27 PM	01:25	1.50	7:09 PM	8:47 PM	01:38	1.75
Nominating					5:20 PM	10:03 PM	04:43	4.75	5:03 PM	8:14 PM	03:11	3.25
Post Retirement Medical Trust	5:38 PM	6:07 PM	00:29	0.50								
Strategic Planning					7:15 PM	8:40 PM	01:25	1.50				
Vendor Advisory	9:44 AM	11:10 AM	01:26	1.50	9:35 AM	10:58 AM	01:23	1.25	9:33 AM	11:27 AM	01:54	2.00

**North Los Angeles County Regional Center
Board and Committee Meeting Time(s) Report
Fiscal Year 2019-2020**

(Rounded to the nearest quarter of an hour.)

Committee	Apr-20				May-20				Jun-20			
	Start	End	Total Time	Rounded	Start	End	Total Time	Rounded	Start	End	Total Time	Rounded
Ad Hoc Bylaws												
Administrative Affairs	6:58 PM	8:43 PM	01:45	1.75	6:05 PM	7:39 PM	01:34	1.50				
Board Meeting	6:40 PM	8:51 PM	02:11	2.25	6:32 PM	9:35 PM	03:03	3.00	6:39 PM	9:58 PM	03:19	3.25
Consumer Services	6:06 PM	7:26 PM	01:20	1.25	6:05 PM	6:49 PM	00:44	0.75				
Executive	8:47 PM	9:56 PM	01:09	1.25	7:44 PM	9:31 PM	01:47	1.75				
Government and Community Relations	7:31 PM	8:23 PM	00:52	1.00	6:52 PM	9:01 PM	02:09	2.25				
Nominating	5:20 PM	7:31 PM	02:11	2.25					5:40 PM	6:41 PM	01:01	1.00
Post Retirement Medical Trust	5:36 PM	6:55 PM	01:19	1.25								
Strategic Planning					6:03 PM	6:42 PM	00:39	0.75				
Vendor Advisory	9:40 AM	11:23 AM	01:43	1.75	9:30 AM	11:31 AM	02:01	2.00	10:06 AM	12:09 PM	02:03	2.00

North Los Angeles County Regional Center
 Board and Committee Meeting Time(s) Report
 Fiscal Year 2020-2021

(Rounded to the nearest quarter of an hour.)

Committee	Jul-20				Aug-20				Sep-20			
	Start	End	Total Time	Rounded	Start	End	Total Time	Rounded	Start	End	Total Time	Rounded
Ad Hoc Bylaws												
Administrative Affairs	6:30 PM	8:50 PM	02:20	2.25	6:04 PM	7:51 PM	01:47	1.75	6:00 PM	7:19 PM	01:19	1.25
Board Meeting					6:33 PM	9:10 PM	02:37	2.50	6:32 PM	9:18 PM	02:46	2.75
Consumer Services	6:04 PM	7:41 PM	01:37	1.50	6:04 PM	7:20 PM	01:14	1.25				
Executive	8:52 PM	10:30 PM	01:38	1.75	8:03 PM	9:34 PM	01:31	1.50	7:19 PM	9:41 PM	02:22	2.25
Government and Community Relations	7:45 PM	9:33 PM	01:48	1.75	7:23 PM	8:55 PM	01:32	1.50				
Nominating					5:36 PM	6:19 PM	00:43	0.75				
Post Retirement Medical Trust	5:35 PM	6:29 PM	00:54	1.00								
Strategic Planning					6:08 PM	7:48 PM	01:40	1.75				
Vendor Advisory					9:35 AM	12:32 PM	02:57	3.00	9:35 AM	12:08 PM	02:33	2.50

North Los Angeles County Regional Center
 Board and Committee Meeting Time(s) Report
 Fiscal Year 2020-2021

(Rounded to the nearest quarter of an hour.)

Committee	Oct-20				Nov-20				Dec-20		Jan-21			
	Start	End	Total Time	Rounded	Start	End	Total Time	Rounded	Start	End	Start	End	Total Time	Rounded
Ad Hoc Bylaws											6:09 PM	7:49 PM	01:40	1.75
Administrative Affairs	6:17 PM	7:41 PM	01:24	1.50	6:02 PM	7:19 PM	01:17	1.25			6:25 PM	8:17 PM	01:52	1.75
Board Meeting	6:56 PM	8:54 PM	01:58	2.00	6:36 PM	8:55 PM	02:19	2.25			6:31 PM	9:15 PM	02:44	2.75
Consumer Services	6:09 PM	7:23 PM	01:14	1.25	6:03 PM	7:18 PM	01:15	1.25			6:03 PM	8:19 PM	02:16	2.25
Executive	8:01 PM	8:50 PM	00:49	0.75	7:20 PM	9:35 PM	02:15	2.25			8:25 PM	10:52 PM	02:27	2.50
Government and Community Relations	7:27 PM	8:36 PM	01:09	1.25	7:23 PM	8:28 PM	01:05	1.00			8:25 PM	10:26 PM	02:01	2.00
Nominating											5:33 PM	7:40 PM	02:07	2.00
Post Retirement Medical Trust	5:33 PM	6:11 PM	00:38	0.75							5:32 PM	6:24 PM	00:52	0.75
Strategic Planning					6:01 PM	6:58 PM	00:57	1.00						
Vendor Advisory	9:38 AM	11:58 AM	02:20	2.25	9:30 AM	11:06 AM	01:36	1.50			9:30 AM	11:19 AM	01:49	2.00